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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty Docket No.: A01501

In re Application of: Lundquist, et al. : Confirmation No.: 9631

Serial No: 10/840,020 : Group Art Unit: 1713

Filed: May 6, 2004 : Examiner: Zalukaeva, Tatyana

For: High Solids Process for Preparing Polymeric Nanoparticles

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with Applicants' duty of disclosure under 37 CFR §§ 1.97 to 1.98, Applicants submit herewith copies of the documents listed on the enclosed form PTO-1449 "List of Art Cited by Applicant". Inclusion of a document in this Information Disclosure Statement and/or its attached form PTO-1449 is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of the Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 CFR 1.56(a), exists.

The Examiner is requested to review the references cited herein and to make the references cited of record in the present application.

Respectfully submitted,

Thomas S. Deibert  
Attorney for Applicants  
Reg. No. 40,984  
Tel. No. (215) 592-2181

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399



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Atty Docket No. A01501  
TSD/ld

In re application of:  
Eric G. Lundquist, et al

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Serial No.: 10/840,020

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Group Art Unit: Not yet assigned

Filed: May 6, 2004

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Examiner: Not yet assigned

**For: HIGH SOLIDS PROCESS FOR PREPARING POLYMERIC NANOPARTICLES**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
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**CERTIFICATE OF MAILING**

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Information Disclosure Statement  
List of Cited Art By Applicant  
Copy of Cited Reference  
Return Postcard

7/27/2004  
Date

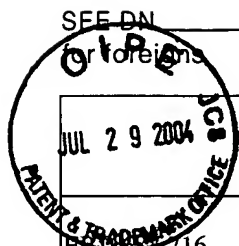
Lisa Dawson  
Signature

## CITED PRIOR ART: U.S. and FOREIGN

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for Foreigns



Reference	Issue or Pub. Date	Source and Date Received	Comments
EP 0 021 716	1995-12-20		Kubo, Akira
EP 0 021 618	1981-01-07		Backhouse, et al.
US Pat. No. 6,586,097	2000-10-12		Pascault, et al.
US Pat. No. 6,545,095	2003-04-08		Solomon, et al.
US Pat. No. 6,646,068	2003-11-11		Chisholm, et al.
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US Pat. No. 6,028,135	2000-02-22		Keller, et al.
US Pat. No. 5,986,020	1999-11-16		Campbell, et al.
US Pat. No. 5,962,574	1999-10-05		Jackson, et al.
US Pat. No. 5,863,996	1982-07-07		Graham
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